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**TRANSMITTAL  
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Total Number of Pages in This Submission: 13

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/036,677        |
| Filing Date            | December 21, 2001 |
| First Named Inventor   | Scott Swix        |
| Art Unit               | 2611              |
| Examiner Name          | Bui, Kieu Oanh T. |
| Attorney Docket Number | BS01421           |

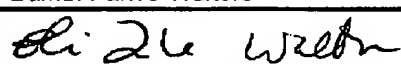
**ENCLOSURES**

(Check all that apply)

☒ Fee Transmittal Form (in duplicate)☒ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify below):  
Copy of Cited Reference

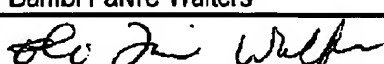
Remarks:

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|-------------------|---|-----------|--------|
| Name (Print/Type) | Bambi Faivre Walters  | Reg. No.: | 45,197 |
| Signature         |  |           |        |
| Date              | January 26, 2005  |           |        |

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| Name (Print/Type) | Bambi Faivre Walters  | Date | January 26, 2005 |
| Signature         |  |      |                  |

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/036,677        |
| Filing Date          | December 21, 2001 |
| First Named Inventor | Scott Swix        |
| Examiner Name        | Bui, Kieu Oanh T. |
| Art Unit             | 2611              |
| Attorney Docket No.  | BS01421           |

**METHOD OF PAYMENT (check all that apply)**

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- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
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- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

Each independent claim over 3 (including Reissues)

50

25

Multiple dependent claims

200

100

360

180

**Total Claims****Extra Claims****Fee (\$)****Fee Paid (\$)****Multiple Dependent Claims****Fee (\$)****Fee Paid (\$)**

- 20 or HP =

x

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=

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims****Extra Claims****Fee (\$)****Fee Paid (\$)**

- 3 or HP =

x

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HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets****Extra Sheets****Number of each additional 50 or fraction thereof****Fee (\$)****Fee Paid (\$)**

- 100 =

/ 50 =

(round up to a whole number)

x

=

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

**Fees Paid (\$)**

Other (e.g., late filing surcharge): IDS - 37 CFR 1.97(b)(3)

\$180.00

**SUBMITTED BY**

|  |  |                        |
|--|--|------------------------|
| Signature                              | Registration No. (Attorney/Agent) 45,197 | Telephone 757-253-5729 |
| Name (Print/Type) Bambl Falvre Walters |  | Date January 26, 2005  |

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re application of:** Scott Swix, et al.**Group Art Unit:** 2611**Application No.:** 10/036,677**Examiner:** Bui, Kieu Oanh T.**Filed:** December 21, 2001**Title:** "Method and System for Managing Timed Responses to A/V Events in Television Programming"**VIA FACSIMILE 703-872-9306****Attn:** Examiner Bui, Kieu Oanh T.**RECEIVED  
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JAN 27 2005****37 C.F.R. § 1.8 CERTIFICATE OF TRANSMISSION**

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1/26/05

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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR §§1.56, 1.97, and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Forms PTO 1449 (pp. 1-7).

This Information Disclosure Statement is being submitted after the mailing of a first Office Action in this application and therefore, the certification fee is believed to be required (37 CFR § 1.97b(3)).

01/28/2005 BBONNER 00000015 10036677

01 FC:1806

180.00 OP

It is respectfully requested that the references listed on the attached forms be expressly considered by the Examiner and be made of record in the application and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,



Bambi F. Walters  
Attorney for Applicants  
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P. O. Box 5743  
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Telephone: 757.253.5729

Date: 1/26/05

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PTO/SB/08A (08-00)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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of

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**Complete If Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/036,877           |
| Filing Date            | December 21, 2001    |
| First Named Inventor   | Scott R. Swix et al. |
| Group Art Unit         | 2611                 |
| Examiner Name          | Bui, Kieu Oanh T.    |
| Attorney Docket Number | BS01421              |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No.¹ | U.S. Patent Document |                          | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------|----------------------|--------------------------|--|--|---|
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Sheet 2 Of 7

**Complete If Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/036,877           |
| Filing Date            | December 21, 2001    |
| First Named Inventor   | Scott R. Swix et al. |
| Group Art Unit         | 2611                 |
| Examiner Name          | Bui, Kieu Oahn T.    |
| Attorney Docket Number | BS01421              |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
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|                        |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
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| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee<br>or Applicant of<br>Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sub>e</sub> |
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|                        |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |  |  |                |
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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|------------------------|--------------------------|---|----------------|
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | Application Number       | 10/036,677           |
|   |   | Filing Date              | December 21, 2001    |
|   |   | First Named Inventor     | Scott R. Swix et al. |
|   |   | Group Art Unit           | 2611                 |
|   |   | Examiner Name            | Bul, Kleu Oahn T.    |
|   |   | Attorney Docket Number   | BS01421              |
| Sheet   | 3 | Of                       | 7                    |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |                          |  |                |
|---|--------------------------|--|----------------|
| Examiner<br>Initials *                            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | T <sup>2</sup> |
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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
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|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/036,677        |
| Filing Date            | December 21, 2001 |
| First Named Inventor   | Scott Swix        |
| Art Unit               | 2811              |
| Examiner Name          | Bui, Kieu Oanh T. |
| Attorney Docket Number | BS01421           |

Sheet 14 of 17

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
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Sheet **5** of **17****Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/036,677        |
| Filing Date            | December 21, 2001 |
| First Named Inventor   | Scott Swix        |
| Art Unit               | 2611              |
| Examiner Name          | Bul, Kleu Oanh T. |
| Attorney Docket Number | BS01421           |

| U. S. PATENT DOCUMENTS |                       |  |                                |  |   |
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| Examiner Initials*     | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) |                                |   |   |                |
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| First Named Inventor   | Scott Swix        |
| Art Unit               | 2611              |
| Examiner Name          | Bui, Kieu Oanh T. |
| Attorney Docket Number | BS01421           |

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**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No.† | Document Number              | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| Examiner<br>Initials* | Cite<br>No.† | Foreign Patent Document                     | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T³ |
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|                       |              | Country Code³-Number⁴-Kind Code⁵ (if known) |                                   |  |   |    |
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| Examiner<br>Signature | Date<br>Considered |
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| Filing Date            | December 21, 2001 |
| First Named Inventor   | Scott Swix        |
| Art Unit               | 2611              |
| Examiner Name          | Bui, Kieu Oanh T. |
| Attorney Docket Number | BS01421           |

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of

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**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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